# EFFICIENT BIAS MITIGATION WITHOUT PRIVILEGED INFORMATION





Mateo Espinosa Zarlenga Swami Sankaranarayanan



Jerone T. A. Andrews



Zohreh Shams



Mateja Jamnik



Alice Xiang

# Sony Al





#### Supervised Learning's Bread And Butter

TODAY WE WILL CONSIDER THE TRADITIONAL SUPERVISED LEARNING SETUP:



#### Supervised Learning's Bread And Butter

In particular, we will focus on instances when a DNN  $f_{\theta}$  is trained by minimizing the empirical mean loss  $\ell(\cdot)$  over the training set:

$$J_{ERM}(\theta) = \frac{1}{n} \sum_{i=1}^{n} \ell(f_{\theta}(x_i), y_i)$$

This is what is referred to as **Empirical Risk Minimization (ERM)**.

#### Even when ERM leads to high performance on average, this can change when we look at specific groups:



#### Even when ERM leads to high performance on average, this can change when we look at specific groups:



#### Even when ERM leads to high performance on average, this can change when we look at specific groups:



#### Even when ERM leads to high performance on average, this can change when we look at specific groups:

 Wildlife image classification (Wah et al., '11; Sagawa et al., '20)

 Input: image of a bird
 Label: bird type

Label: bird type

97.3% average test accuracy72.6% on waterbirds on land backgrounds



WE ARE THEREFORE INTERESTED IN MAXIMIZING THE WORST GROUP ACCURACY (WGA):

WGA
$$(f_{\theta}, \mathcal{P}) := \min_{g \in \{1, 2, \cdots, k\}} \mathbb{E}_{(\mathbf{x}, y) \sim \mathcal{P}(\mathbf{x}, y|g)} \left[ \mathbb{1} \left( f_{\theta}(\mathbf{x}) = y \right) \right]$$

#### BIAS MITIGATION

#### There is a plethora of bias mitigation methods



#### BIAS MITIGATION

THERE IS A PLETHORA OF BIAS MITIGATION METHODS

THESE CAN BE:

**1. GROUP SUPERVISED**: WE ASSUME GROUP LABELS DURING TRAINING

#### BIAS MITIGATION

THERE IS A PLETHORA OF BIAS MITIGATION METHODS

These can be:

**1. GROUP SUPERVISED**: WE ASSUME GROUP LABELS DURING TRAINING

2. GROUP UNSUPERVISED: WE DO NOT ASSUME GROUP LABELS DURING TRAINING\*

#### \*The Reality of Unsupervised Methods

IN PRACTICE, UNSUPERVISED BIAS MITIGATION METHODS NEED GROUP LABELS DURING MODEL SELECTION TO AVOID SELECTING A BIASED MODEL:



#### \*The Reality of Unsupervised Methods

IN PRACTICE, UNSUPERVISED BIAS MITIGATION METHODS NEED GROUP LABELS DURING MODEL SELECTION TO AVOID SELECTING A BIASED MODEL:



Selected model if validation group labels are unavailable

The selected hyperparameters lead to a model no better than an ERM model!

#### Our Work

How can we design a bias mitigation method that does not require group labels for either training or model selection?

**Insight #1 (Nam et al. and Liu et al.)**: Samples with spurious correlations are learnt before samples without the spurious correlation



**Insight #1 (Nam et al. and Liu et al.):** Samples with spurious correlations are learnt before samples without the spurious correlation



#### Previous works [1, 2] exploit this by looking at a pre-determined "time slice"

[1] NAM ET AL. "LEARNING FROM FAILURE: DE-BIASING CLASSIFIER FROM BIASED CLASSIFIER." NEURIPS 2020.
[2] LIU ET AL. "JUST TRAIN TWICE: IMPROVING GROUP ROBUSTNESS WITHOUT TRAINING GROUP INFORMATION." ICML, 2021.

**INSIGHT #2**: TRAINING LOSS HISTORIES ARE VERY INFORMATIVE SIGNALS



#### **Insight #2**: Training loss histories are very informative signals



CLUSTERING SAMPLES BASED ON THEIR TRAINING HISTORIES PRODUCES A DATA SUBSET WITH A HIGHER PROPORTION OF SAMPLES WITHOUT THE SPURIOUS CORRELATION!

## WE PROPOSE TARGETED AUGMENTATIONS FOR BIAS MITIGATION (TAB), A NEW HYPERPARAMETER-FREE GROUP-UNSUPERVISED BIAS MITIGATION PIPELINE:



OUR APPROACH EXPLOITS THE **TRAINING HISTORY** OF AN IDENTIFICATION MODEL TO GENERATE **A GROUP-BALANCED DATASET** FROM WHICH A ROBUST MODEL CAN BE TRAINED

TAB first trains an ERM model while **keeping track of the loss** across all training samples and epochs:



WE THEN IDENTIFY ERROR GROUPS BY **CLUSTERING THE LOSS HISTORY EMBEDDING** SPACE FOR EACH CLASS LABEL:



Next, we generate a **GROUP-BALANCED TRAINING SET** BY **UPSAMPLING** EACH MINORITY CLUSTER TO MATCH THE SIZE OF THE MAJORITY CLUSTER.



WE DO SO BY RANDOMLY UPSAMPLING ELEMENTS FROM THE MINORITY CLUSTER.

Finally, we **train a robust model** using ERM on this group-balanced dataset:



## SO HOW DOES TAB PERFORM IN PRACTICE?

## KEY RESULTS TL;DR

	Method - {Hypers}	$\mid$ Even-Odd $(p=99\%)$	$\texttt{cMNIST} \ (p=98\%)$	Waterbirds	CelebA	BAR	CUB
WGA (%)	G-DRO - $\{\eta, \lambda_{\ell_2}\}$	$57.66 \pm 6.76$	$59.29\pm3.27$	$68.54 \pm 1.75$	$85.74\pm0.69$	N/A	N/A
	ERM - $\{\eta, \lambda_{\ell_2}\}$	$55.98 \pm 13.85$	$46.97\pm8.71$	$44.86 \pm 1.11$	$34.81\pm0.26$	$29.56\pm1.78$	$16.67 \pm 0.00$
	LfF - $\{q\}$	$2.97 \pm 3.36$	$48.45\pm5.83$	$51.14 \pm 1.08$	$40.00\pm0.00$	$29.56\pm2.35$	$14.44 \pm 3.14$
	JTT - $\{T, \lambda_{up}\}$	$79.32 \pm 1.76$	$57.21 \pm 3.59$	$44.50\pm0.45$	$37.78\pm2.83$	$30.98\pm2.00$	$12.22\pm1.57$
	MaskTune - $\{\tau\}$	$72.82 \pm 3.08$	$13.94\pm7.37$	$35.67 \pm 1.75$	$37.04 \pm 1.14$	$17.61 \pm 1.54$	$10.00 \pm 7.20$
	TAB (ours) - $\emptyset$	$81.85\pm2.39$	$63.26 \pm 2.50$	$55.92 \pm 1.80$	$40.00\pm1.20$	$38.94 \pm 1.03$	$18.89 \pm 1.57$
Mean Acc. (%)	$\boxed{\text{G-DRO} - \{\eta, \lambda_{\ell_2}\}}$	$58.97\pm6.79$	$94.83 \pm 0.55$	$97.19 \pm 0.28$	$92.67 \pm 0.14$	N/A	N/A
	ERM - $\{\eta, \lambda_{\ell_2}\}$	$85.52 \pm 12.09$	$91.22\pm0.26$	$97.68\pm0.06$	$95.45\pm0.04$	$56.93 \pm 1.13$	$ 74.81 \pm 0.29$
	LfF - $\{q\}$	$60.29 \pm 13.53$	$90.48 \pm 1.17$	$97.46 \pm 0.12$	$95.22\pm0.02$	$55.96 \pm 1.25$	$74.00\pm0.67$
	JTT - $\{T, \lambda_{up}\}$	$93.12 \pm 4.74$	$92.13 \pm 1.13$	$97.71\pm0.11$	$94.77\pm0.05$	$58.00\pm2.34$	$69.92\pm0.10$
	MaskTune - $\{\tau\}$	$92.60\pm5.02$	$83.25\pm3.26$	$98.15 \pm 0.04$	$95.32\pm0.07$	$50.66 \pm 1.38$	$70.07\pm0.97$
	TAB (ours) - $\emptyset$	$94.98 \pm 3.37$	$93.28 \pm 1.09$	$97.52 \pm 0.09$	$94.67 \pm 0.05$	$61.11\ \pm\ 0.94$	$72.98 \pm 0.34$

TAB ACHIEVES BETTER WORST-GROUP ACCURACIES THAN COMPETING APPROACHES WHILE MAINTAINING A COMPETITIVE MEAN ACCURACY COMPARED TO ERM MODELS

#### PAPER, POSTER, AND CONTACT INFORMATION



Poster Information

TODAY, TUESDAY OCT 1ST

4:30 p.m. — 6:30 p.m.

Poster #27



IF YOU WANT TO DISCUSS FURTHER, CONTACT ME AT ME466@CAM.AC.UK